I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: 'Commissioner for Patents, Washington, D.C. 20231," on

3M No. YOR920000693US2 Atty Dkt 5075-0029.20

RECEIVED

JUN 0 3 2002

TECH CENTER 1600/290

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Ratnam SOORIYAKUMARAN et al.

COPY OF PAPERS ORIGINALLY FILED

Serial No. 10/079,289

Group Art Unit: 1652

Filing Date: February 19, 2002

Examiner: Unassigned

SUBSTANTIALLY TRANSPARENT AQUEOUS BASE SOLUBLE

POLYMER SYSTEM FOR USE IN 157 NM RESIST APPLICATIONS

PECEIVED

PRELIMINARY AMENDMENT

Commissioner for Patents Washington D.C. 20231

Sir:

This is a preliminary amendment to the patent application identified above, filed on February 19, 2002. Prior to examination of the application, please enter the following amendments to the specification and claims.

AMENDMENTS

IN THE SPECIFICATION:

Amend paragraphs 4, 8, 19, 20, 23-26, 33, 35, 41-44, 44, 45, 48, 50, 55-57, 61, 66, 69, 73, 82-85 and 92 as indicated in Appendix A. The revised paragraphs, with all changes made, are set forth in Appendix B.

IN THE CLAIMS:

Cancel claims 1-66 without prejudice, and add new claims 67-124 as indicated in Appendix C. For completeness, those claims are reproduced below: